

PART B - FEE(S) TRANSMITTAL

Send this form, together with applicable fee(s), to: **Mail** Mail Stop ISSUE FEE
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450
or Fax (571)-273-2885

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

26797 7590 04/03/2006

SILICON VALLEY PATENT AGENCY
7394 WILDFLOWER WAY
CUPERTINO, CA 95014

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below.

JOE ZHENG	(Depositor's Name)
<i>[Signature]</i>	(Signature)
4/6/06	(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/736,008	12/15/2003	Chun He	2107-29	2951

TITLE OF INVENTION: TUNABLE OPTICAL ADD/DROP DEVICE

04/07/2006 CNGUYEN1 00000031 10736008

01 FC:2501

700.00 OP

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES	\$700	\$0	\$700	07/03/2006

EXAMINER	ART UNIT	CLASS-SUBCLASS
HELLNER, MARK	3663	359-337200

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,
 (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1 **JOE ZHENG**

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3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

ALLIANCE FIBER OPTIC PRODUCTS, INC., SUNNYVALE, CA 94089Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are enclosed:

- ☒ Issue Fee
☐ Publication Fee (No small entity discount permitted)
☐ Advance Order - # of Copies

4b. Payment of Fee(s):

- ☐ A check in the amount of the fee(s) is enclosed.
☒ Payment by credit card. Form PTO-2038 is attached.
☐ The Director is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(a)(2).

The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above. NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature

[Signature]

Date

4/6/2006

Typed or printed name

JOE ZHENG

Registration No.

39,450

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Chun He et al
Title: Tunable Optical Add/Drop Device
Serial No.: 10/736,008
Filing Date: 12/15/2003
Examiner: Mark Hellner
Group Art Unit: 3663
Docket No: 2107-29

April 6, 2006

Mail Stop: Issue Fee
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Response to Notice of Allowance

Dear Sir:

In response to the Notice of Allowance and Issue Fee Due mailed on 04/03/2006, the Applicants submit the following items:

1. An original Issue Fee Transmittal; and
2. A page USPTO2038 FORM for issue fee.

Remarks

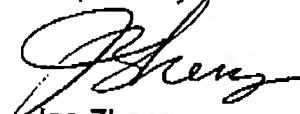
The Applicants appreciate the Examiner for allowing this application. It is hereby respectfully submitted that the enclosed items complete the requirement for the issuance of the above-identified application.

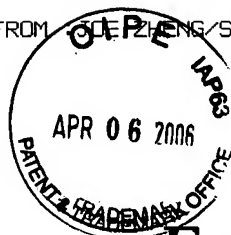
Please telephone the undersigned at (408)777-8873, if there are any questions.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to "Mail Stop: Issue Fees, Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450", on April 6, 2006, faxed to (571)273-2885

Signed:  (Joe Zheng)

Respectfully Submitted,


Joe Zheng
Reg.: No.: 39,450



Formal response

FACSIMILE TRANSMITTAL SHEET

TO:	FROM:
ISSUE FEE	Joe Zheng (408)777-8873
COMPANY:	DATE:
USPTO	04/06/2006
FAX NUMBER:	TOTAL NO. OF PAGES INCLUDING COVER:
(571)273-2885	4
PHONE NUMBER:	SENDER'S REFERENCE NUMBER:
	2107-29
RE:	YOUR REFERENCE NUMBER:
Response to Notice of Allowance	10/736,008

☒ URGENT ☒ FOR REVIEW ☐ PLEASE COMMENT ☐ PLEASE REPLY ☐ PLEASE RECYCLE


NOTES/COMMENTS:

Dear Commissioner:

The following three items are enclosed:

1. 1-page Cover letter to Notice of Allowance;
2. 1-page Issue Fee Transmittal; and
3. 1-page USPTO2038 FORM for issue fee.

Respectfully,


Joe Zheng
Reg. No: 39,450

7394 WILDFLOWER WAY, CUPERTINO, CA 95014